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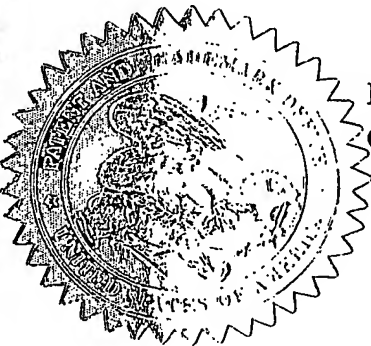
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# PROVISIONAL APPLICATION COVER SHEET

This is a request for filing a PROVISIONAL APPLICATION under 37 CFR 1.53 (c).

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The invention was made by an agency of the United States Government or under a contract with an agency of the United States Government.

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Hilde Azijn and Marie-Pierre De Bethune

For : NEW MUTATIONAL PROFILES IN HIV-1 REVERSE  
TRANSCRIPTASE CORRELATED WITH PHENOTYPIC  
DRUG RESISTANCE

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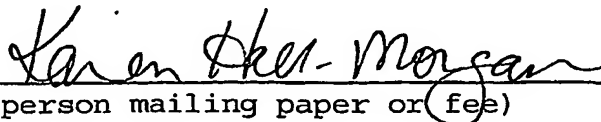
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**NEW MUTATIONAL PROFILES IN HIV-1 REVERSE TRANSCRIPTASE  
CORRELATED WITH PHENOTYPIC DRUG RESISTANCE**

5 The present invention is directed to the field of nucleic acid diagnostics and the  
identification of base variation in target nucleic acid sequences. The invention provides  
novel mutations or mutational profiles of HIV-1 reverse transcriptase gene correlated  
with a phenotype causing alterations in sensitivity to anti-HIV drugs. The present  
invention also relates to the use of genotypic characterization of a target population of  
10 HIV and the subsequent association, i.e. correlation, of this information to phenotypic  
interpretation in order to correlate virus mutational profiles with drug resistance. The  
invention further relates to methods of utilizing the mutational profiles of the invention  
in databases, drug development, i.e., drug design, and drug modification, therapy and  
treatment design and clinical management.

15 The development and standardization of plasma HIV-1 RNA quantification  
assays has led to the use of viral load measurements as a key therapy response  
monitoring tool. The goal of antiretroviral therapy is to reduce plasma viremia to below  
the limit of detection on a long-term basis. However, in a significant number of  
patients, maximal suppression of virus replication is not achieved and for those in  
whom this goal is reached, a significant number experience viral load rebound. Viral  
20 load data provide no information on the cause of the failure.

Therapy failure may be due to a number of factors, including insufficient  
antiviral activity of the regimen, individual variations in drug metabolism and pharma-  
codynamics, difficulties in adhering to dosing regimen, requirements for treatment  
interruption due to toxicity, and viral drug resistance. Moreover, drug resistance may  
25 develop in a patient treated with sub-optimal antiretroviral therapy or a patient may be  
infected with drug-resistant HIV-1. Although drug resistance may not be the primary  
reason for therapy failure, in many cases any situation which permits viral replication in  
the presence of an inhibitor sets the stage for selection of resistant variants.  
Viral drug resistance can be defined as any change in the virus that improves replication  
30 in the presence of an inhibitor. HIV-1 drug resistance was first described in 1989 and  
involved patients that had been treated with zidovudine monotherapy (Larder, B.A., et  
al., Science 243, 1731-1734 (1989)). Emergence of resistance is almost always being  
observed during the course of treatment of patients with single antiretroviral drugs.  
Similarly, *in vitro* passage of viral cultures through several rounds of replication in the  
35 presence of antiretroviral compounds leads to the selection of viruses whose replication  
cycle is no longer susceptible to the antiretroviral compounds used. Resistance  
development has also been observed with the introduction of dual nucleoside reverse  
transcriptase inhibitors (NRTI) combination therapy as well as during the administering

of the more potent non- nucleoside reverse transcriptase inhibitors (NNRTIs), protease inhibitors (PIs) and combinations thereof. Individual antiretroviral agents differ in the rate at which resistance develops: selection for resistant variants may occur within weeks of treatment or resistance may emerge after a longer treatment period.

5        Extensive genetic analysis of resistant viral isolates generated through *in vivo* or *in vitro* selection has revealed that resistance is generally caused by mutations at some specific site(s) of the viral genome. The mutational patterns that have been observed and reported for HIV-1 and that are correlated with drug resistance are very diverse: some antiretroviral agents require only one single genetic change, while others require  
10       multiple mutations for resistance to appear. A summary of mutations in the HIV genome correlated with drug resistance has been compiled (See e.g. Schinazi, Int. Antiviral News. 6, 65 (2000)). Electronic listings with mutations are available at different web locations such as [hiv-web.lanl.gov/content/index](http://hiv-web.lanl.gov/content/index), [www.hivb.stanford.edu](http://www.hivb.stanford.edu), and [www.hivresistanceweb.com](http://www.hivresistanceweb.com).

15       A genetic mutation is normally written in reference to the wild type virus, *i.e.*, K101N refers to replacement of a Lysine at codon 101 with a Asparagine (The Molecular biology of the Cell, 1994, Garland Publishing, NY). However, the mutations of the invention do not depend on the wild-type example listed in order to be within the practice of the invention. For example, the mutation 101N, refers to an Asparagine at  
20       the 101 codon regardless of the whether there was a Lysine at 101 prior to mutation. Alternatively, it may be said that a particular amino acid occurs at a given position, wherein "position" is equivalent to "codon". Mutations can also be identified in nucleic acids such as RNA, DNA, mRNA.

The degree of susceptibility of a genetic variant to an antiretroviral compound is  
25       expressed herein relative to the wild-type virus (HIV IIIB/LAI reference sequence) as found, for example, in GenBank, the sequence of which is hereby incorporated by reference (K03455, gi 327742, M38432). An alteration in viral drug sensitivity is defined as a change in resistance or a change in susceptibility of a viral strain to said drug. Susceptibilities are generally expressed as ratios of  $EC_{50}$  or  $EC_{90}$  values (the  $EC_{50}$   
30       or  $EC_{90}$  value being the drug concentration at which 50% or 90% respectively of the viral population is inhibited from replicating) of a viral strain under investigation compared to the wild type strain. Hence, the susceptibility of a viral strain can be expressed as a fold change in susceptibility, wherein the fold change is derived from the ratio of for instance the  $EC_{50}$  values of a mutant viral strain compared to the wild type.  
35       In particular, the susceptibility of a viral strain or population may also be expressed as resistance of a viral strain, wherein the result is indicated as a fold increase in  $EC_{50}$  as compared to wild type  $EC_{50}$ .

As antiretroviral drugs are administered for longer periods, mostly in combination with each other, and as new antiretrovirals are being developed and added to the present drugs, new resistance-correlated genetic variants are being identified. Of particular importance is that the combination of antiretroviral agents can influence resistance characteristics.

Once viral resistance has developed, salvage therapy options may be severely restricted due to cross-resistance within each drug class. This is as important for initial treatment as for when a therapy change is called for in order to minimize the emergence of resistance and improve the long-term prognosis of the patient. The choice of therapy regimen will be supported by knowledge of the resistance profile of the circulating virus population. Additionally, therapy combinations will have a greater chance of being effective if they include agents that have a demonstrated potential of suppressing a particular virus population.

A number of applications describe the occurrence of mutations in HIV and their correlation to the development of drug resistance (WO 00/73511; WO 02/33402; WO 02/22076; WO 00/78996). The instant invention adds to the art mutations in the reverse transcriptase gene and their correlation i.e. association to viral drug resistance.

#### **DETAILED DESCRIPTION OF THE INVENTION**

The knowledge that the mutation 386A correlates with a fold change in resistance can be applied in certain useful methods. The present invention relates to methods for evaluating the effectiveness of a reverse transcriptase inhibitor, based on the presence of at least one mutation 386A in HIV reverse transcriptase. The presence of said mutation correlates to a fold change in susceptibility or resistance of an HIV viral strain towards at least one reverse transcriptase drug. The effectiveness of a reverse transcriptase inhibitor in the presence of at least one of said mutations may be determined using e.g. enzymatic, phenotypic and genotypic methods. The correlation between the mutational profiles in HIV reverse transcriptase and drug usage may be useful for clinical toxicological and forensic applications. A combined approach involving genotypic and phenotypic resistance testing to correlate mutations with resistance phenotypes may be used. More in particular, the present invention provides a correlation between at least one strain of HIV having at least one 386A mutation in HIV reverse transcriptase and a fold change in resistance.

The effectiveness of a reverse transcriptase inhibitor as an antiviral therapy for a patient infected with at least one HIV strain comprising mutant reverse transcriptase may be determined using a method comprising: (i) collecting a sample from an HIV-infected patient; (ii) determining whether the sample comprises a HIV reverse transcriptase

having at least one mutation 386A; and (iii) correlating the presence of said at least one mutation of step (ii) to a change in effectiveness of said reverse transcriptase inhibitor

In general a change in effectiveness can be expressed as a fold change in resistance.

- 5 The fold change may be determined using a cellular assay including the cytopathogenic assay or the Antivirogram® (WO 97/27480). Alternatively, the fold change in susceptibility may be derived from database analysis such as the VirtualPhenotype™ (WO 01/79540). A decrease in susceptibility vis-à-vis the wild type virus correlates to an increased viral drug resistance, and hence reduced effectiveness of said drug. To
- 10 determine the viral drug susceptibility the activity of the mutant enzyme may be compared to the activity of a wild type enzyme. In phenotyping assays pseudotyped viruses may be used. The mutations present in HIV reverse transcriptase may be determined at the nucleic acid or amino acid level using sequencing or hybridization techniques. A report may be generated that shows the region of the patient virus that
- 15 has been sequenced, including at least one mutation 386A. The report may include antiretroviral drugs, drug(s) for which a known resistance-associated mutation has been identified and/or to what extent the observed mutation(s) selected from at least 386A are indicative of resistance to drugs. The sample to be evaluated can be a bodily fluid including blood, serum, plasma, saliva, urine, or a tissue including gut tissues.

20

The fact that particular data correlate, indicates that a causal relationship exists between the data. Hence, a particular result renders a particular conclusion more likely than other conclusions.

- 25 A drug effective against mutant HIV reverse transcriptase may be identified by a method, comprising: (i) providing a nucleic acid comprising HIV reverse transcriptase comprising at least one mutation 386A; (ii) determining a phenotypic response to said drug for said HIV recombinant virus; and (iii) identifying a drug effective against mutant HIV based on the phenotypic response of step (ii). The nucleic acid comprising
- 30 HIV of step (i) may be recombined into a proviral nucleic acid deleted for said sequence to generate a recombinant HIV virus.

- Identifying a drug is defined as making a selection of drugs clinically available based on the effectiveness of said drug. In addition to the selection of clinically available
- 35 drugs identifying also relates to the selection of clinical drug candidates. The phenotypic response may be determined using cellular assays such as the Antivirogram®. An effective drug against mutant HIV comprising at least one mutation 386A in reverse transcriptase is defined as a drug having a phenotypic response

expressed, as e.g. a fold change in susceptibility lower than a defined cut-off that may be determined for a drug.

5 An other useful method for identifying, a drug effective against mutant HIV reverse transcriptase comprises: (i) providing a HIV reverse transcriptase comprising at least one mutation 386A, (ii) determining the activity of said drug on said HIV reverse transcriptase; (iii) determining the activity of said drug on wild type HIV reverse transcriptase; (iv) determining the ratio of the activity determined in step (iii) over the activity determined in step (ii); (v) identifying an effective drug against mutant HIV  
10 based on the ratio of step (iv). A ratio lower than a defined cut-off value that can be specific for said drug is indicative that the drug is effective against mutant HIV (WO 02/33402).

15 The activity of said drug on mutant HIV reverse transcriptase having at least one mutation 386A, can be determined in an enzymatic assay, wherein the mutant reverse transcriptase, is compared to the wild type enzyme by its enzymatic characteristics (e.g. Maximal velocity ( $V_{max}$ ), Michaelis-Menten constant ( $K_m$ ), catalytic constant ( $k_{cat}$ ))(Antimicrob. Agents Chemotherap. 1989 33(12), 2109-2114; Antimicrob. Agents Chemotherap. 1989 33(10), 1729-1734; Anal Biochem. 1996, 235(2) 141-152). An  
20 activity means any output generated by the assay including fluorescence, fluorescence polarization, luminiscence, absorbance, radioactivity, resonance energy transfer mechanisms, magnetism.

25 The response of a mutant HIV reverse transcriptase having at least one mutation 386A may be expressed as viral fitness (WO 00/78994). This viral fitness can be defined as the ability of a viral strain to replicate in the presence or absence of a component, such as a reverse transcriptase inhibitor. This viral fitness is dependent on a combination of factors including viral factors which include mutations occurring in viral proteins, host factors which include immune responses, differential expression of membrane proteins  
30 and selective pressures which include the presence of antiviral agents such as reverse transcriptase inhibitors.

Interestingly, the reverse transcriptase inhibitors that can be used in the present methods include Zidovudine, Nevirapine, Efavirenz, Abacavir, Capravirine, Lamivudine,  
35 Didanosine, Stavudine, Adefovir, Zalcitabine, Delavirdine, DPC-086, DPC-083, Tenofovir, and compound 1 (Benzonitrile, 4-[[6-amino-5-bromo-2-[(4-cyanophenyl)-amino]-4-pyrimidinyl]oxy]-3,5-dimethyl-, compound 1). In particular, the reverse transcriptase inhibitor is selected from Nevirapine, Efavirenz, Capravirine, DPC-086



and compound 1. Suitably, the inhibitor is selected from compound 1, Nevirapine and Efavirenz.

Conveniently, the methods of the present invention are performed using samples of an  
5 HIV-infected patient that has been treated with at least a reverse transcriptase inhibitor.  
More in particular, the patient contains mutant viruses bearing at least one additional  
mutation at position in the HIV reverse transcriptase selected from 41, 62, 65, 67, 69,  
70, 74, 75, 98, 100, 101, 103, 106, 108, 116, 118, 138, 151, 178, 181, 184, 188, 190 ,  
210, 215, 219, 225, 227, 230, 234, 236, 238, and 318. Even more in particular, the  
10 mutant viruses are resistant towards the therapy the patient is taken.

A vector comprising an HIV sequence having at least one mutation 386A in the HIV  
reverse transcriptase gene may be useful for the phenotypic analysis. The present  
knowledge about the correlation between a fold change in susceptibility and the  
15 presence of at least one mutation 386A in HIV reverse transcriptase can be used to  
prepare an isolated and purified HIV reverse transcriptase sequence having at least one  
mutation 386A.

The knowledge of the mutations of the present invention offers the possibility to  
20 develop probes and primers directed to said mutations. An isolated and purified  
oligonucleotide comprising a HIV reverse transcriptase sequence of 5 to 100 bases  
comprising at least one mutation 386A, may be useful for in vitro diagnosis of viral  
drug resistance. Suitable oligonucleotides for nucleic acid amplifying technologies  
contain 5 to 35 nucleic acid bases. More suitably such oligonucleotide contains between  
25 15 to 30 nucleic acid bases. An oligonucleotide may contain the mutant base at the 3'  
end so as to enable the detection of the mutant using PCR. Oligonucleotides may also  
be used as probes including molecular beacons (Tyagi, Nature Biotechnol 1998, 16(1)  
49-53), and TaqMan probes.

30 A computer system comprising at least one database correlating the presence of at least  
one mutation in a human immunodeficiency virus reverse transcriptase and fold change  
in susceptibility of at least one strain of HIV to a reverse transcriptase inhibitor,  
comprising at least one record corresponding to a correlation between at least one  
mutation 386A, and treatment with at least a reverse transcriptase inhibitor can be used  
35 for evaluating resistance towards therapy.

A neural network that predicts the development of therapeutic agent resistance or  
sensitivity against at least one viral strain comprising at least one mutation 386A can be  
used (WO 01/95230).

Genotyping methodologies

- Resistance of HIV to antiretroviral drugs may be determined at the genotypic level by identifying mutations in the HIV-1 genome and by inferring the resistance of HIV-1 to antiretroviral drugs through searching for mutational patterns known to correlate with resistance. Assays for detection of mutations in HIV-1 may be based on polymerase chain reaction (PCR) amplification of viral genomic sequences. These amplified sequences are then analyzed using either hybridization or sequencing techniques. Hybridization-based assays include primer-specific PCR, which makes use of synthetic oligonucleotides designed to allow selective priming of DNA synthesis. See Larder, B.A., et al., AIDS 5, 137-144 (1991); Richman, D.D., et al., J. Infect. Dis. 164, 1075-1081 (1991); Gingeras, T.R., et al., J. Infect. Dis. 164, 1066-1074 (1991). Only when primer sequences match the target sequence (wild-type or mutant) at the 3' end, is amplification of target sequences possible and DNA fragments are produced.
- Knowledge of the primer sequences allows one to infer the sequence of the viral isolate under investigation, but only for the region covered by the primer sequences. Other hybridization-based assays include differential hybridization (Eastman, P.S., et al., J. Acq. Imm. Def. Syndr. Human Retrovirol. 9, 264-273 (1995); Holodniy, M., et al., J. Virol. 69, 3510-3516 (1995); Eastman, P.S., et al., J. Clin. Micro. 33, 2777-2780 (1995).); Line Probe Assay (LiPAJ HIV-11 RT, Innogenetics) (Stuyver, L., et al., Antimicrob. Agents Chemotherap. 41, 284-291 (1997)); and biochip technology such as GENECHIP® technology (Affymetrix) (D'Aquila, R.T. Clin. Diagnost. Virol. 3, 299-316 (1995); Fodor, S.P.A. et al., Nature 364, 555-556 (1993); Fodor, S.P.A. Nature 227, 393-395 (1997). The sequence may also be determined using mass spectroscopic technologies. DNA sequencing assays provide information on all nucleotides of the sequenced region. Sequencing results may be reported as amino acid changes at positions in the protease gene and the reverse transcriptase gene compared to the wild-type reference sequence. The changes included in the genotyping report may be limited to mutations at positions known to manifest drug resistance-associated polymorphisms. Polymorphisms at positions not associated with drug resistance may be omitted.

Phenotyping methodologies

- Phenotyping assays measure the ability of a replicating virus to grow in the presence of compounds compared to a wild-type reference virus such as e.g. HIV-1/LAI, HIV-1/NL4.3, HIV-1/HXB2 or e.g. HIV-2/ROD. Alternatively, phenotyping assays are performed with pseudotyped viruses not able to replicate (WO 02/38792). Consequently, these assays directly measure the degree of viral susceptibility to

specific inhibitors. In this case, one measures the effect of all mutational interactions, the effects of genetic changes as yet unknown or not previously identified, the effect of the background genotype, etc., on the phenotype. Some phenotypic assays are discussed below.

5 *Cytopathic effect assay (CPE assay)*

Determination of the antiviral activity of a compound was done as described in Pauwels R. *et al.* (J Virol Methods 1988; 20(4):309-21). Various concentrations of the test compounds were brought into each well of a flat-bottom microtiter plate.

- 10 Subsequently, HIV and MT4 cells were added to a final concentration of 200-250 50% cell culture infectious doses (CCID<sub>50</sub>)/well and 30,000 cells/well, respectively. After 5 days of incubation (37°C, 5% CO<sub>2</sub>), the cytopathic effect of the replicating virus was determined by the tetrazolium colorimetric MTT method. The dose protecting 50% of the cells from virus cytopathic effect was defined as the EC<sub>50</sub>, while the dose achieving 90% protection was defined as the EC<sub>90</sub>.

15 *Reporter gene assay*

The reporter gene assay used MT4-LTR-EGFP cells. Upon infection by HIV-1, the expression of the viral tat product increases transcription from the HIV-1 LTR promoter, leading to high-level expression of the reporter gene product.

- 20 The assay procedure was similar to the CPE assay except for the end reading of the assay, which was performed on day 3 by measuring the relative fluorescence of treated cultures and comparing this with the relative fluorescence of untreated cultures. The EC<sub>50</sub> or the EC<sub>90</sub> of a compound was defined as the concentration that inhibited the relative fluorescence by 50% or 90% respectively.

*Antiviral assay with PBMC cultures*

- 25 The purification and activation of PBMCs as well as the antiviral assays were carried out as described (CDER. Guidance for Industry Points to Consider in the Preclinical Development of Antiviral Drugs. 1990). The assay measured the extent that a drug inhibits HIV p24 antigen production by peripheral blood mononuclear cells (PBMC) cultures acutely infected with a viral strain. The susceptibility determination uses
- 30 phytohaemagglutinine (PHA)-stimulated PBMCs from normal donors. In the *in vitro* infection experiments 1000 CCID<sub>50</sub> per million PHA-stimulated PBMCs was used. Cultures were split 1/2 every 3 to 4 days and compound was added together with the addition of new medium.

- The p24 antigen production was measured using a commercial kit, according to the
- 35 manufacturer protocol (NEN), at the moment that the p24 production of untreated infected cultures is maximal; i.e. between 7 and 11 days after infection.

The % p24 production was calculated by means of following equation:

$$\%p24 = 100 \times \frac{[p24]_{\text{Sample}} - [p24]_{\text{Mock\_Control}}}{[p24]_{\text{HIV\_Control}} - [p24]_{\text{Mock\_Control}}}$$

where  $[p24]_{\text{Sample}}$  is the p24 concentration in an infected treated culture,  $[p24]_{\text{HIV\_Control}}$  is the p24 concentration in an infected untreated culture and  $[p24]_{\text{Mock\_Control}}$  is the p24 concentration in a mock-infected culture. The dose achieving 50% p24 production according to the above formula was defined as the  $EC_{50}$ , while the dose achieving 10% p24 production according to the above formula was defined as the  $EC_{90}$ .

#### *Antiviral assay with monocytes/macrophages*

The assay measured the extent that a drug inhibits HIV p24 antigen production by primary monocytes/macrophages acutely infected with HIV-1/BaL (300 CCID<sub>50</sub>/ml). The susceptibility determination used monocytes/macrophages isolated from PBMCs from normal donors by plastic adherence. Every 5 days cultures were fed with complete medium containing the appropriate compound concentrations. The p24 antigen production was measured at day 14 after virus challenge and  $EC_{50}$  and  $EC_{90}$  values were calculated.

#### *Recombinant virus assays*

A recombinant virus assay (RVA) starts with the amplification of viral target sequences by means of PCR. The amplicons are incorporated into a proviral laboratory clone deleted for the sequences, present in the amplicon. This generates a stock of recombinant viruses. The viruses are tested for their ability to grow in the presence of different concentrations of drugs. Results are obtained by calculating  $EC_{50}$  values for each inhibitor and by reporting the results as  $EC_{50}$  values, expressed in  $\mu\text{M}$  concentrations, or by computing the ratio of the  $EC_{50}$  values found for the recombinant virus to the  $EC_{50}$  values found for a wild type susceptible laboratory virus tested in parallel. In the latter case, resistance is expressed as "fold-resistance" (fold change in susceptibility, FC) compared to a wild-type susceptible HIV-1 strain.

The use of reporter gene systems for susceptibility testing allows the implementation of laboratory automation and standardization (Pauwels, et al., J. Virol. Methods 20, 309-321 (1988); Paulous, S., et al., International Workshop on HIV Drug Resistance, Treatment Strategies and Eradication, St. Petersburg, Florida, USA. Abstr. 46 (1997); and Deeks, S. G., et al., 2nd International Workshop on HIV Drug Resistance and Treatment Strategies, Lake Maggiore, Italy. Abstr. 53 (1998)).

The Antivirogram® assay (Virco) (WO 97/27480) is based on homologous recombination of patient derived HIV-1 *gag*/PR/RT sequences into a proviral HIV-1 clone correspondingly deleted for the *gag*/PR/RT sequences. A similar assay (Phenosense® ViroLogic, WO 97/27319) is based on enzymatic ligation of patient-

derived PR/RT sequences into a correspondingly deleted proviral vector carrying an indicator gene, luciferase, inserted in the deleted HIV-1 envelope gene. An other assay is developed by Bioalliance (Phenoscript, e.g. WO 02/38792). The development of high-throughput phenotyping and genotyping assays has allowed the establishment of a database containing the phenotypic resistance data and the genotypic sequences of over 30,000 clinical isolates.

### **Experimental part**

#### **Example 1. The Identification of Mutational Patterns in HIV-1 Reverse Transcriptase and the Correlated Phenotypic Resistance.**

Plasma samples from HIV-1-infected individuals from routine clinical practice were obtained and shipped to the laboratory on dry ice and stored at  $-70^{\circ}\text{C}$  until analysis. Viral RNA was extracted from 200  $\mu\text{L}$  patient plasma using the QIAAMP<sup>®</sup> Viral RNA Extraction Kit (Qiagen, Hilden, Germany), according to the manufacturers instructions. cDNA encompassing part of the *pol* gene was produced using Expand<sup>™</sup> reverse transcriptase (Boehringer Mannheim). A 2.2kb fragment encoding the protease and RT regions were amplified from patient-derived viral RNA by nested polymerase chain reaction (PCR) using PCR primers and conditions as described. (Hertogs K., et al., Antimicrob. Agents Chemother. 42: 269-276 (1998), WO 01/81624). This genetic material was used in phenotyping and genotyping experiments.

Phenotypic analysis was performed using the recombinant virus assay (Antivirogram<sup>®</sup>)(WO 97/27480). MT-4 cells (Harada S., et al, Science 229: 563-566 (1985).) were co-transfected with *pol* gene PCR fragments and the protease-RT deleted HIV-1 molecular clone, pGEM3 $\Delta$ PRT. This resulted in viable recombinant viruses containing protease/RT from the donor PCR fragment. After homologous recombination of amplicons into a PR-RT deleted proviral clone, the resulting recombinant viruses were harvested, titrated and used for *in vitro* susceptibility testing to antiretroviral drugs. The results of this analysis were expressed as fold change in susceptibility, reflecting the fold change in mean  $\text{EC}_{50}$  ( $\mu\text{M}$ ) of a particular drug when tested with patient-derived recombinant virus isolates, relative to the mean  $\text{EC}_{50}$  ( $\mu\text{M}$ ) of the same drug obtained when tested with a reference wild-type virus isolate (IIB/LAI).

Genotyping was performed by an automated population-based full-sequence analysis, through a dideoxynucleotide-based approach, using the BigDye<sup>™</sup> terminator kit (Applied Biosystems, Inc.) and resolved on an ABI 377 DNA sequencer. The genotypes are reported as amino acid changes at positions along the reverse transcriptase gene compared to the wild-type (HXB2) reference sequence. Analysis by VirtualPhenotype<sup>™</sup> interpretational software (WO 01/79540) allowed detection of

mutational patterns in the database containing the genetic sequences of the clinical isolates and linkage with the corresponding resistance profiles of the same isolates.

Example 2. Susceptibility analysis of HIV-1 variants constructed by site-directed mutagenesis

- 5 Mutations in the protease or RT coding region were created by site-directed mutagenesis, using the QuikChange® Site-Directed Mutagenesis Kit (STRATAGENE®), of a wild-type HXB2-D *EcoRI-PstI* restriction enzyme fragment, encompassing the HIV-1 *pol* gene and cloned into pGEM3 (Promega). All mutant clones were verified by DNA  
10 sequence analysis. PCR fragments were prepared from the mutated clones and the altered reverse transcriptase coding regions were transferred into HIV-1 HXB2-D by homologous recombination as described above. The susceptibility of these recombinant viruses to drugs was determined by the MT-4 cell CPE protection assay.

15 Example 3. *In vitro* selection of resistant strains

**Table 1**

- Cells are infected at a high MOI ( such as 1-50 CCID<sub>50</sub>/cell), corresponding to  $> 10^9$  viral RNA copies / ml. These experiments have been designed to mimic the quasi-species variability that is observed in HIV infected individuals where  $10^9$  to  $10^{10}$  new  
20 viruses are produced daily with a mutation rate of  $10^{-4}$  to  $10^{-5}$ . The infected MT4-LTR-EGFP cells are treated with inhibitors at 40, 200 nM, 1  $\mu$ M and higher for a maximum of 30 days. The cultures are subcultivated and scored on virus-induced fluorescence and cytopathicity every 3 - 4 days. If full virus breakthrough (100% CPE) is observed the supernatants was collected and stored (new virus strain). If no full CPE was observed  
25 the cells were subcultivated and further grown in the presence of the same concentration compound till full virus breakthrough, with a maximum of 30 days. From the emerging virus populations a virus stock was grown in the absence of compounds and titrated. The sensitivities of the isolated strains to HIV-1 RT inhibitors were  
30 determined and the strains were genotyped.

**Table 2.**

- MT4-LTR-EGFP cells were infected at a multiplicity of infection (MOI) of 0.01 to 0.001 CCID<sub>50</sub>/ cell in the presence of inhibitor. The cultures were sub-cultivated and scored microscopically on virus-induced fluorescence and cytopathogenicity every 3 - 4  
35 days. The cultures were sub-cultivated in the presence of the same compound concentration until signs of virus replication were observed. The escaping virus was further cultivated in the presence of the same inhibitor concentration in order to enrich the population in resistant variants. If full virus breakthrough was observed the supernatant was collected and stored (new virus strain). Afterwards, the same virus was

challenged with a higher compound concentration in order to select variants able to grow in the presence of as high as possible inhibitor concentrations. From the new viruses, a virus stock was grown in the absence of inhibitor.

- 5 *In vitro* drug selection experiments starting from wild-type HIV-1/LAI under pressure of compound 1, Efavirenz and Nevirapine have been performed. Table 1 and 2 show the genotypic and phenotypic characterization of the selected strains.

**Table 1 Characterization of the strains isolated from HIV-1/LAI in the presence of compound 1**

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			High MOI
Starting strain		LAI	LAI
Compound		-	Compound 1
Concentration( $\mu$ M)			0.200 $\mu$ M
Days to breakthrough			day 25
Original mutations			
Additional mutations			L100L/I Y181C T386A
Phenotype			
Compound name			
Compound 1	median(EC50 ( $\mu$ M))	0.0014	0.1097
	Fold resistance	1	78
Efavirenz	median(EC50 ( $\mu$ M))	0.0010	0.2075
	Fold resistance	1	208

Table 2 Characterization of the strains isolated from HIV-1/LAI in the presence of compound 1

	Titer	Low MOI	Low MOI	Low MOI	Low MOI	Low MOI	Low MOI	Low MOI	Low MOI	Low MOI
		LAI	LAI	LAI	LAI	LAI	LAI	LAI	LAI	LAI
Viral strain		Comp. 1	Comp. 1	Comp. 1	Comp. 1	Comp. 1	Comp. 1	Comp. 1	Comp. 1	Comp. 1
Compound		0.040 $\mu$ M	0.200 $\mu$ M	0.200 $\mu$ M	1.000 $\mu$ M	5.000 $\mu$ M	5.000 $\mu$ M	15.000 $\mu$ M	15.000 $\mu$ M	15.000 $\mu$ M
Concentration		day 15	day 22	day 28	day 35	day 42	day 42	day 42	day 42	day 59
Days to breakthrough										
Mutations				M164M/I						
			E006E/K	Y181C	Y181C	I031L/I	I031L/I	I031L	I031L	I031L
			Y181C	D186D/N	Y181C	Y181C	Y181C	A062A/V	A062A/V	A062A/V
			M230I	M230M/I	G190E	G190E	G190E	Y181C	Y181C	Y181C
			T386A	T386A	T386A	T386A	T386A	G190E	G190E	G190E
								T386A	T386A	T386A
Compound										
1	median(EC50 ( $\mu$ M))	0.0014	0.0334	0.0298	0.0228	0.2168	0.3943	0.1263	0.6177	>10.0000
	Fold resistance	1	24	21	16	155	282	90	441	>7,143
Efavirenz	median(EC50 ( $\mu$ M))	0.0010	0.0067	0.0067	0.0072	0.0465		0.0442	0.2086	>10.0000
	Fold resistance	1	7	7	7	46	-	44	209	>10.0000
Nevirapine	median(EC50 ( $\mu$ M))	0.0763	>10.0000	>10.0000	>10.0000	>10.0000	>10.0000	>10.0000	>10.0000	>10.0000
	Fold resistance	1	>131	>131	>131	>131	>131	>131	>131	>131

The *in vitro* antiviral activity of compound 1 and current reverse transcriptase inhibitors against the selected strains was evaluated in acutely infected MT4 cells. Median EC<sub>50</sub> values together with the fold change in resistance (expressed as a ratio of EC<sub>50</sub>) as compared to wild type (FC) are reported.



Claims:

1. A computer system comprising at least one database correlating the presence of at least one mutation in a human immunodeficiency virus (HIV) reverse transcriptase and a change in susceptibility of at least one strain of HIV to a reverse transcriptase inhibitor, comprising at least one record corresponding to a correlation between at least one mutation 386A in said reverse transcriptase, and treatment with at least a reverse transcriptase inhibitor.
2. A method of evaluating the effectiveness of a reverse transcriptase inhibitor as an antiviral therapy for a patient infected with at least one mutant HIV strain comprising:
  - (i) collecting a sample from an HIV-infected patient;
  - (ii) determining whether the sample comprises a nucleic acid encoding HIV reverse transcriptase having at least one mutation 386A;
  - (iii) correlating the presence of said at least one mutation of step (ii) to a change in effectiveness of said reverse transcriptase inhibitor.
3. A method of identifying a drug effective against mutant HIV reverse transcriptase, comprising:
  - (i) providing a nucleic acid comprising HIV reverse transcriptase comprising at least one mutation 386A;
  - (ii) recombining said nucleic acid comprising HIV of step (i) into a proviral nucleic acid deleted for said sequence to generate a recombinant HIV virus;
  - (iii) determining a phenotypic response to said drug for said recombinant virus; and
  - (iv) identifying a drug effective against mutant HIV based on the phenotypic response of step (iii) .
4. A method of identifying a drug effective against mutant HIV reverse transcriptase, comprising:
  - (i) providing a HIV reverse transcriptase comprising at least one mutation 386A;
  - (ii) determining the activity of said drug on said HIV reverse transcriptase;
  - (iii) determining the activity of said drug on wild type HIV reverse transcriptase;
  - (iv) determining the ratio of the activity determined in step (iii) over the activity determined in step (ii);
  - (v) identifying an effective drug against mutant HIV based on the ratio of step (iv).
5. A method for evaluating a change in viral drug susceptibility, comprising:
  - (i) collecting a sample from an HIV-infected patient;

- (ii) determining whether the sample comprises a HIV reverse transcriptase having at least one mutation 386A;
- (iii) correlating the presence of said at least one mutation of step (ii) to a change in viral drug susceptibility.

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6. A method of evaluating a change in viral drug susceptibility, comprising:

- (i) providing an HIV comprising a reverse transcriptase containing at least one mutation 386A;
- (ii) determining a phenotypic response of said virus to said drug; and
- (ii) correlating the phenotypic response of step (ii) to a change in viral drug susceptibility.

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7. A method for evaluating a change in drug effectiveness against mutant HIV reverse transcriptase, comprising:

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- (i) providing a HIV reverse transcriptase comprising at least one mutation 386A;
- (ii) determining the activity of said drug on mutant reverse transcriptase
- (iii) determining the activity of said drug on wild type HIV reverse transcriptase and;
- (iv) determining the ratio of the activity determined in step (iii) over the activity determined in step (ii);
- (v) identifying an effective drug against mutant HIV based on the ratio of step (iv).

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8. A vector for performing phenotypic analysis comprising an HIV sequence having at least one mutation 386A in the HIV reverse transcriptase gene.

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9. An isolated and purified HIV reverse transcriptase sequence having at least one mutation 386A, wherein said at least one mutation in said sequence correlates to a fold change in susceptibility towards a HIV reverse transcriptase inhibitor.

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10. An isolated and purified oligonucleotide comprising a HIV reverse transcriptase sequence of 5 to 100 bases for in vitro diagnosis of viral drug resistance, characterized in that said oligonucleotide comprises at least one mutation 386A.

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TIP-16

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**ABSTRACT**

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**NEW MUTATIONAL PROFILES IN HIV-1 REVERSE TRANSCRIPTASE  
CORRELATED WITH PHENOTYPIC DRUG RESISTANCE**

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The present invention is directed to the field of nucleic acid diagnostics and the identification of base variation in target nucleic acid sequences. More particularly, the present invention relates to the use of such genotypic characterization of a target population of HIV and the subsequent association, *i.e.*, correlation, of this information to phenotypic interpretation in order to correlate virus mutational profiles with drug

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resistance. The invention also relates to methods of utilizing the mutational profiles of the invention in drug development, *i.e.*, drug discovery, drug design, drug modification, and therapy, treatment design, clinical management and diagnostic analysis